

J1044 U.S. PTO  
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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

JC978 U.S. PTO  
01/16/02JC978 U.S. PTO  
01/16/02

**NEW UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(only for new nonprovisional applications under  
37 CFR 1.53(b))*

<b>APPLICATION ELEMENTS</b>	Attorney Docket Number	80121-06566
	First Named Inventor	Albert K. Chin
	Title	DEVICE AND METHOD FOR REMOTE VESSEL LIGATION
	Express Mail Label No.	EL566299266US

<b>APPLICATION ELEMENTS</b> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)</li> <li>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</li> <li>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <input type="text" value="35"/></span> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>■ Descriptive Title of the Invention</li> <li>■ Cross Reference(s) to Related Case(s)</li> <li>■ Statement Regarding Fed sponsored R &amp; D</li> <li>■ Background of the Invention</li> <li>■ Brief Summary of the Invention</li> <li>■ Brief Description of the Drawing(s)</li> <li>■ Detailed Description</li> <li>■ Claim or Claims</li> <li>■ Abstract of the Disclosure</li> </ul> </li> <li>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">Total Sheets <input type="text" value="13"/></span></li> <li>5. Oath or Declaration           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> New Declaration <span style="float: right;">Total Pages <input type="text"/></span> <input type="checkbox"/> Executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ol> </li> <li>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</li> </ol>	<b>ACCOMPANYING APPLICATION PARTS</b> <ol style="list-style-type: none"> <li>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>8. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></li> <li>9. <input type="checkbox"/> Power of Attorney or Authorization of Agent</li> <li>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement</li> <li>11. <input type="checkbox"/> Preliminary Amendment</li> <li>12. <input type="checkbox"/> Information Disclosure Statement &amp; PTO-1449           <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citation(s)</li> </ul> </li> <li>13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <i>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</i></li> <li>14. <input checked="" type="checkbox"/> Return Postcard</li> <li>15. <input type="checkbox"/></li> <li>16. <input type="checkbox"/></li> <li>17. <input type="checkbox"/></li> </ol>		
		<b>ADDRESS TO:</b>	
		Box Patent Application Commissioner for Patents Washington, D.C. 20231	
		18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:	
		<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/571,279	
		Prior application information: Examiner: P. Hirsch Group/Art Unit: 3732	

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**



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Label

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Name (Print/Type)	Albert C. Smith	Registration No. (Attorney/Agent)	20,355
Signature	A. C. Smith	Date	11/16/02

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b>	
<b>FEES TRANSMITTAL</b>		Application Number	Unassigned
		Filing Date	January 16, 2002
		First Named Inventor	Albert K. Chin
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
<b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) =		Attorney Docket Number	80121-06566
		(\$)	740.00

<b>METHOD OF PAYMENT</b>		<b>FEES CALCULATION (continued)</b>																												
<b>1. The Commissioner is hereby authorized to:</b>		<b>3. ADDITIONAL FEES</b>																												
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. † <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		Large Entity	Small Entity	Fee Description																										
		Fee Code/Fee	Fee Code/Fee	Fee Due																										
		105/\$130	205/\$65	Surcharge - late filing fee or oath																										
		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																										
		147/\$2,520	147/\$2,520	For filing a request for reexamination																										
		115/\$110	215/\$55	Extension for response within first month†																										
		116/\$400	216/\$200	Extension for response within second month†																										
		117/\$920	217/\$460	Extension for response within third month†																										
		118/\$1,440	218/\$720	Extension for response within fourth month†																										
		128/\$1,960	228/\$980	Extension for response within fifth month†																										
		119/\$320	219/\$160	Notice of Appeal																										
		141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application																										
		142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)																										
		143/\$460	243/\$230	Design Issue Fee																										
		122/\$130	122/\$130	Petitions to the Commissioner																										
		126/\$180	126/\$180	Submission of Information Disclosure Statement																										
		179/\$740	279/\$370	Request for Continued Examination (RCE)																										
		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)																										
		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))																										
		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))																										
<b>2. CLAIMS</b>		Other fee (specify):																												
		Other fee (specify):																												
		<b>SUBTOTAL (3) (\$)</b> 0																												
		<table border="1" style="width: 100%;"> <thead> <tr> <th rowspan="2">For</th> <th rowspan="2">No. of Existing Claims</th> <th rowspan="2">Highest No. Previously Paid For</th> <th colspan="2">(Col. 3)</th> <th rowspan="2">Fee Due</th> </tr> <tr> <th>Extra**</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>11</td> <td>20 or 0</td> <td>= 0</td> <td>x</td> <td>= 0</td> </tr> <tr> <td>INDEP</td> <td>2</td> <td>3 or 0</td> <td>= 0</td> <td>x</td> <td>= 0</td> </tr> <tr> <td colspan="6">[ ] First presentation of multiple dependent claim</td> </tr> </tbody> </table>			For	No. of Existing Claims	Highest No. Previously Paid For	(Col. 3)		Fee Due	Extra**	Fee	TOTAL	11	20 or 0	= 0	x	= 0	INDEP	2	3 or 0	= 0	x	= 0	[ ] First presentation of multiple dependent claim					
For	No. of Existing Claims	Highest No. Previously Paid For	(Col. 3)					Fee Due																						
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INDEP	2	3 or 0	= 0	x	= 0																									
[ ] First presentation of multiple dependent claim																														
		<b>SUBTOTAL (2) (\$)</b> 0																												
		* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3																												

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Albert C. Smith	Reg. Number	20,355
Signature	<i>Albert C. Smith</i>		Date 1/16/02

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Albert K. Chin
Title	DEVICE AND METHOD FOR REMOTE VESSEL LIGATION
Atty Docket Number	80121-06566

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1/16/02

Date



Signature

Albert C. Smith, Reg. No. 20,355

Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.